PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

May 17, 2002 : Date:

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: Group Art Unit: 2815

Serial No.: 09/989,770 TRADEMA Confirmation No.: 2335

: Examiner: Not yet assigned

November 20, 2001 Filed:

: Attorney Docket No.: YOR919980460US2

High Speed Composite p-Channel Si/SiGe Heterostructure

for Field Effect Devices

To: Commissioner for Patents

Initial Patent Examination Division

Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

a hereby certify that the attached correspondence comprising:

Acknowledgment Post Card;

Petition and Fee for Extension of Time (37 CFR 1.136(a)) (in duplicate);

Response to the Notice to File Corrected Application Papers; 3.

Substitute Specification with claims starting with the 4. claim's page;

Set of thirteen (13) Formal Drawings including four (4) black and white photographs; and

Notice to File Corrected Application Papers (return copy)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents Initial Patent Examination Division Washington, DC 20231

May 17, 2002 on

Michelle Parra

(Print name of person certifying)

(Signature of person certifying)



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Jack O. Chu et al.

Serial No.: 09/989,770 : Group Art Unit: 2815

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For: High Speed Composite p-Channel Si/SiGe Heterostructure for Field Effect Devices

Commissioner for Patents Initial Patent Examination Division Washington, D.C. 20231

Response to Notice to File Corrected Application Papers

Sir:

In response to the Notice to File Corrected Application Papers, mailed November 30, 2001, for which the time to respond expires on Thursday May 30, 2002, enclosed herewith is a substitute specification and a set of thirteen (13) formal drawings including four (4) black and white photographs for the above-identified application.

The specification is unchanged from pages 1-24. Page 25 contains a portion of the description and no longer includes the beginning of the claims. The claims now begin on page 26 and continue through page 47. The Abstract is on page 48. The claims now commence on a separate sheet in compliance with 37 CFR 1.75(h), no changes to the wording or punctuation of the specification or claims has been made.

A copy of the "Notice to File Corrected Application Papers -Filing Date Granted" is attached as per your instructions.

Respectfully submitted,
Attorney for the Applicant(s)

by

Robert M. Trepp

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Washington, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/989,770

11/20/2001

Jack Oon Chu

YO919980460US2

CONFIRMATION NO. 2335

Date Mailed: 11/30/2001

FORMALITIES LETTER

OC000000007143895

Robert M. Trepp IBM Corporation Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, NY 10598

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE